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# On Quotients of Non-Archimedean Köthe Spaces

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*Abstract.* We show that there exists a non-archimedean Fréchet-Montel space *W* with a basis and with a continuous norm such that any non-archimedean Fréchet space of countable type is isomorphic to a quotient of *W*. We also prove that any non-archimedean nuclear Fréchet space is isomorphic to a quotient of some non-archimedean nuclear Fréchet space with a basis and with a continuous norm.

# Introduction

In this paper all linear spaces are over a non-archimedean non-trivially valued field  $\mathbb{K}$  which is complete under the metric induced by the valuation  $|\cdot| \colon \mathbb{K} \to [0, \infty)$ . For fundamentals of locally convex Hausdorff spaces (lcs) and normed spaces we refer to [4, 5, 6].

In [9, 10] we investigated closed subspaces in Fréchet spaces of countable type. In this paper we study quotients of Fréchet spaces of countable type.

By a *Köthe space* we mean a Fréchet space with a basis and with a continuous norm. First, we prove that any Fréchet space of countable type is isomorphic to a quotient of some Köthe space V (Theorem 3 and Corollary 4) and any Köthe space is isomorphic to a quotient of some Köthe–Montel space (Theorem 5). Thus any Fréchet space of countable type is isomorphic to a quotient of some Köthe–Montel space W (Corollary 6).

Next, we show that any nuclear Fréchet space is isomorphic to a quotient of some nuclear Köthe space Theorem 7, but there is no nuclear Fréchet space *X* such that any nuclear Köthe space is isomorphic to a quotient of *X* (Theorem 10 and Corollary 12).

## **Preliminaries**

The linear span of a subset *A* of a linear space *E* is denoted by lin *A*.

Let *E*, *F* be locally convex spaces. A map  $T: E \to F$  is called an *isomorphism* if *T* is linear, injective, surjective and the maps *T*,  $T^{-1}$  are continuous. *E* is *isomorphic* to *F* if there exists an isomorphism  $T: E \to F$ .

A seminorm on a linear space *E* is a function  $p: E \to [0, \infty)$  such that  $p(\alpha x) = |\alpha|p(x)$  for all  $\alpha \in \mathbb{K}, x \in E$  and  $p(x + y) \leq \max\{p(x), p(y)\}$  for all  $x, y \in E$ . A seminorm *p* on *E* is a *norm* if ker  $p = \{0\}$ .

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The set of all continuous seminorms on a metrizable lcs *E* is denoted by  $\mathcal{P}(E)$ . A non-decreasing sequence  $(p_k) \subset \mathcal{P}(E)$  is a *base* in  $\mathcal{P}(E)$  if for every  $p \in \mathcal{P}(E)$  there exists  $k \in \mathbb{N}$  with  $p \leq p_k$ . A sequence  $(p_k)$  of norms on *E* is a *base of norms* in  $\mathcal{P}(E)$  if it is a base in  $\mathcal{P}(E)$ .

Any metrizable lcs *E* possesses a base  $(p_k)$  in  $\mathcal{P}(E)$ .

A metrizable lcs *E* is of *finite type* if dim(*E*/ker *p*) <  $\infty$  for any *p*  $\in \mathcal{P}(E)$ , and of *countable type* if *E* contains a linearly dense countable set.

A *Fréchet space* is a metrizable complete lcs. Any infinite-dimensional Fréchet space of finite type is isomorphic to the Fréchet space  $\mathbb{K}^{\mathbb{N}}$  of all sequences in  $\mathbb{K}$  with the topology of pointwise convergence (see [2, Theorem 3.5]).

Let  $(x_n)$  be a sequence in a Fréchet space *E*. The series  $\sum_{n=1}^{\infty} x_n$  is convergent in *E* if and only if  $\lim x_n = 0$ .

A sequence  $(x_n)$  in an lcs *E* is a *basis* in *E* if each  $x \in E$  can be written uniquely as  $x = \sum_{n=1}^{\infty} \alpha_n x_n$  with  $(\alpha_n) \subset \mathbb{K}$ . If additionally the coefficient functionals  $f_n: E \to \mathbb{K}, x \to \alpha_n$ ,  $(n \in \mathbb{N})$  are continuous, then  $(x_n)$  is a *Schauder basis* in *E*. As in the real and complex case any basis in a Fréchet space is a Schauder basis (see [3, Corollary 4.2]).

A *Banach space* is a normable Fréchet space. Any infinite-dimensional Banach space *E* of countable type is isomorphic to the Banach space  $c_0$  of all sequences in  $\mathbb{K}$  converging to zero with the sup-norm [5, Theorem 3.16].

Let p be a seminorm on a linear space E and  $t \in (0, 1)$ . A sequence  $(x_n)$  in E is *t*-orthogonal with respect to p if  $p(\sum_{i=1}^n \alpha_i x_i) \ge t \max_{1 \le i \le n} p(\alpha_i x_i)$  for all  $n \in \mathbb{N}, \alpha_1, \ldots, \alpha_n \in \mathbb{K}$ .

A sequence  $(x_n)$  in an lcs *E* is 1-*orthogonal* with respect to  $(p_k) \subset \mathcal{P}(E)$  provided  $p_k(\sum_{i=1}^n \alpha_i x_i) = \max_{1 \le i \le n} p_k(\alpha_i x_i)$  for all  $k, n \in \mathbb{N}, \alpha_1, \ldots, \alpha_n \in \mathbb{K}$ .

Every basis  $(x_n)$  in a Fréchet space *E* is 1-orthogonal with respect to some basis  $(p_k)$  in  $\mathcal{P}(E)$  [2, Proposition 1.7].

Let  $B = (b_{n,k})$  be an infinite real matrix with  $0 < b_{n,k} \le b_{n,k+1} \forall n, k \in \mathbb{N}$ . The space  $K(B) = \{(\alpha_n) \subset \mathbb{K} : \lim_n |\alpha_n| b_{n,k} = 0 \text{ for all } k \in \mathbb{N}\}$  with the base of norms  $(p_k): p_k((\alpha_n)) = k \max_n |\alpha_n| b_{n,k}, k \in \mathbb{N}$ , is a Köthe space. The sequence  $(e_n)$  of coordinate vectors forms a basis in K(B); the coordinate basis is 1-orthogonal with respect to the base  $(p_k)$  [1, Proposition 2.2].

Put  $B_{\mathbb{K}} = \{ \alpha \in \mathbb{K} : |\alpha| \le 1 \}$ . Let *A* be a subset of an lcs *E*. The set co *A* =  $\{ \sum_{i=1}^{n} \alpha_{i} a_{i} : n \in \mathbb{N}, \alpha_{1}, \dots, \alpha_{n} \in B_{\mathbb{K}}, a_{1}, \dots, a_{n} \in A \}$  is the *absolutely convex hull* of *A*; its closure in *E* is denoted by  $\overline{co}A$ .

A subset *B* of an lcs *E* is *absolutely convex* if co B = B.

A subset *B* of an lcs *E* is *compactoid* if for each neighbourhood *U* of 0 in *E* there exists a finite subset *A* of *E* such that  $B \subset U + \operatorname{co} A$ .

By a *Fréchet–Montel space* we mean a Fréchet space in which any bounded subset is compactoid.

Let *E* and *F* be locally convex spaces. A linear map  $T: E \to F$  is *compact* if there exists a neighbourhood *U* of 0 in *E* such that T(U) is compactoid in *F*.

For any seminorm p on an lcs E the map  $\overline{p}: E_p \to [0, \infty), x + \ker p \to p(x)$  is a norm on  $E_p = (E/\ker p)$ . Let  $\varphi_p: E \to E_p, x \to x + \ker p$ .

An lcs E is nuclear if for every continuous seminorm p on E there exists a contin-

uous seminorm q on E with  $q \ge p$  such that the map

$$\varphi_{pq} \colon (E_q, \overline{q}) \to (E_p, \overline{p}), x + \ker q \to x + \ker p$$

is compact.

Let *E* be a Fréchet space with a basis  $(x_n)$  which is 1-orthogonal with respect to a base of norms  $(p_k)$  in  $\mathcal{P}(E)$ . Then *E* is nuclear if and only if  $\forall k \in \mathbb{N}, \exists m > k : \lim_{n \to \infty} \lim_{k \to \infty} |p_k(x_n)/p_m(x_n)| = 0$  [1, Propositions 2.4 and 3.5].

#### Results

A sequence  $(x_n)$  in a Fréchet space X is a *pseudo-basis* of X, if for any element x of X there is a sequence  $(\alpha_n) \subset \mathbb{K}$  such that the series  $\sum_{n=1}^{\infty} \alpha_n x_n$  is convergent in X to x.

In [8] we have proved that there exist nuclear Fréchet spaces without a basis. For pseudo-bases we have the following.

**Proposition 1** Any Fréchet space E of countable type has a pseudo-basis.

**Proof** Let  $(p_k)$  be a base in  $\mathcal{P}(E)$  and  $U_k = \{x \in E : p_k(x) \le 1\}, k \in \mathbb{N}$ . Let  $\beta \in \mathbb{K}$  with  $0 < |\beta| < 1$ . Choose a linearly independent and linearly dense sequence  $(z_i)$  in *E*. Put  $Z_n = \lim\{z_i : 1 \le i \le n\}, n \in \mathbb{N}$ . Let  $(N_k)$  be a partition of  $\mathbb{N}$  into infinite subsets. For  $n \in N_k, k \in \mathbb{N}$ , let  $x_{n,1}, \ldots, x_{n,n}$  be a basis in  $Z_n$  which is  $|\beta|$ -orthogonal with respect to  $p_k$  (see [10, proof of Lemma 1.1]). We will show that the sequence  $(x_n) = (x_{1,1}, x_{2,2}, x_{3,1}, x_{3,2}, x_{3,3}, \ldots)$  is a pseudo-basis in *E*.

Let  $k \in \mathbb{N}, x \in U_k$  and  $m \in \mathbb{N}$ . Then for some  $n \in N_k$  with  $n \ge m$  there is  $y \in Z_n \cap (x + U_{k+1})$ . Thus  $\exists \beta_1, \ldots, \beta_n \in \mathbb{K} : y = \sum_{i=1}^n \beta_i x_{n,i}$  and

$$|\beta| \max_{1\leq i\leq n} p_k(\beta_i x_{n,i}) \leq p_k(y) \leq \max\{p_k(y-x), p_k(x)\} \leq 1.$$

Hence  $\beta_1 x_{n,1}, \ldots, \beta_n x_{n,n} \in \beta^{-1} U_k$ .

We have proved that  $\forall k \in \mathbb{N}, \forall x \in U_k, \forall m \in \mathbb{N}, \exists s \ge m, \exists \alpha_m, \dots, \alpha_s \in \mathbb{K}$ :

$$(x-\sum_{i=m}^{s}\alpha_{i}x_{i})\in U_{k+1} ext{ and } \{\alpha_{m}x_{m},\ldots,\alpha_{s}x_{s}\}\subset \beta^{-1}U_{k}.$$

It follows that the sequence  $(x_n)$  is a pseudo-basis in *E*.

**Remark 2** It is easy to see that any dense sequence  $(x_n)$  in a Fréchet space E is a pseudo-basis of E. Unfortunately, any non-zero Fréchet space over a non-separable field is non-separable.

Using the existence of pseudo-bases in any Fréchet space of countable type we get the following.

**Theorem 3** Any Fréchet space E of countable type is isomorphic to a quotient of some Köthe space.

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**Proof** Assume that *E* is not of finite type. Then for some  $p \in \mathcal{P}(E)$  the quotient space  $(E/\ker p)$  is infinite-dimensional. Let *G* be an algebraic complement of ker *p* in *E*. Since *G* is an infinite-dimensional metrizable lcs of countable type, it contains a linearly independent and linearly dense sequence  $(g_n)$ . Let  $(s_k)$  be a linearly dense sequence in ker *p* and let  $(N_k)$  be a partition of  $\mathbb{N}$  into infinite subsets. We can choose a sequence  $(\alpha_n) \subset (\mathbb{K} \setminus \{0\})$  with  $\lim_{n \in N_k} \alpha_n g_n = 0, k \in \mathbb{N}$ . Put  $z_n = \alpha_n g_n + s_k$  for  $n \in N_k, k \in \mathbb{N}$ . The sequence  $(z_n)$  is linearly independent and linearly dense in *E*, and  $\lim_{n \in \mathbb{N}} (z_n) \cap \ker p = \{0\}$ .

By Proposition 1 and its proof, the space *E* has a pseudo-basis  $(e_n)$  such that  $(e_n) \subset (\lim(z_n) \setminus \{0\})$ . Let  $(p_k)$  be a base in  $\mathcal{P}(E)$  with  $p_1 \ge p$ . Put  $a_{n,k} = p_k(e_n)$  for  $n, k \in \mathbb{N}$ . Clearly,  $0 < a_{n,k} \le a_{n,k+1}$  for all  $n, k \in \mathbb{N}$ . Let  $A = (a_{n,k})$  and let *X* be the Köthe space *K*(*A*).

For any  $\alpha = (\alpha_n) \in X$  the series  $\sum_{n=1}^{\infty} \alpha_n e_n$  is convergent in *E*. Moreover,  $p_k(\sum_{n=1}^{\infty} \alpha_n e_n) \leq \max_n |\alpha_n| a_{n,k} \leq q_k(\alpha)$  for  $k \in \mathbb{N}, \alpha \in X$ , where  $(q_k)$  is the standard base of norms in  $\mathcal{P}(X)$ . Thus the linear operator  $T: X \to E, T\alpha = \sum_{n=1}^{\infty} \alpha_n e_n$ , is well defined and continuous. We show that T(X) = E. Let  $e \in E$ . Then there exists  $(\alpha_n) \subset \mathbb{K}$  such that  $\sum_{n=1}^{\infty} \alpha_n e_n = e$ . Clearly,  $\lim_n |\alpha_n| a_{n,k} = \lim_n |\alpha_n| p_k(x_n) = 0$ ,  $k \in \mathbb{N}$ . Thus  $\alpha = (\alpha_n) \in X$  and  $T\alpha = e$ . It follows that *E* is isomorphic to the quotient  $(X/\ker T)$  of *X*.

If *E* is of finite type, then it is isomorphic to a quotient of  $\mathbb{K}^{\mathbb{N}} \times c_0$  and, by the first part of the proof, to a quotient of some Köthe space.

In [12] we have proved that there exists a Köthe space V (unique up to isomorphism) such that any Köthe space is isomorphic to a complemented closed subspace of V. Thus, by Theorem 3, we get

**Corollary 4** Any Fréchet space of countable type is isomorphic to a quotient of the Köthe space V.

Now we prove the following.

**Theorem 5** Any Köthe space X is isomorphic to a quotient of some Köthe–Montel space.

**Proof** Let  $(x_n)$  be a basis in X. This basis is 1-orthogonal with respect to a base of norms  $(p_k)$  in  $\mathcal{P}(X)$ . Without loss of generality we can assume that  $p_1(x_n) \ge 1, n \in \mathbb{N}$ . Put  $d_{m,k} = p_k(x_m)$  for  $m, k \in \mathbb{N}$ . Let  $(N_i), (S_m)$  be two partitions of  $\mathbb{N}$  such that the set  $N_i \cap S_m$  is non-empty for all  $i, m \in \mathbb{N}$ .

For  $n \in N_i \cap S_m$ ,  $i, m \in \mathbb{N}$  and  $k \in \mathbb{N}$  we put  $b_{n,k} = k^i d_{m,k}$  if  $k \leq i$  and  $b_{n,k} = k^{in} d_{m,k}$  if k > i. Clearly,  $0 < b_{n,k} \leq b_{n,k+1}$  for all  $n, k \in \mathbb{N}$ . Put  $B = (b_{n,k})$ . The Köthe space K(B) is a Fréchet–Montel space (see [10, Corollary 1.10, Example 1.9 and its proof]). We will prove that X is isomorphic to a quotient of K(B). Put Y = K(B).

Let  $(f_n) \subset Y'$  be the sequence of coefficient functionals associated with the coordinate basis  $(e_n)$  in Y. For any  $\alpha = (\alpha_n) \in Y$  we have  $\lim_n f_n(\alpha) = 0$ , since

 $\lim_{n} |\alpha_n| b_{n,1} = 0$ . Put  $g_m(\alpha) = \sum_{n \in S_m} f_n(\alpha)$  for  $m \in \mathbb{N}$  and  $\alpha \in Y$ . By the Banach–Steinhaus theorem, the linear functionals  $g_m, m \in \mathbb{N}$ , are continuous on *Y*. For all  $k, m \in \mathbb{N}$  and  $\alpha \in Y$  we have

$$p_k(g_m(\alpha)x_m) = |g_m(\alpha)|d_{m,k} \le \sup_{n \in S_m} |f_n(\alpha)|d_{m,k} \le \sup_{n \in S_m} |\alpha_n|b_{n,k}$$

and  $\lim_{n} |\alpha_n| b_{n,k} = 0$ , so  $\lim_{m} g_m(\alpha) x_m = 0$  in *X*, for any  $\alpha \in Y$ . Put  $T: Y \to X$ ,  $T\alpha = \sum_{m=1}^{\infty} g_m(\alpha) x_m$ . For  $k, m \in \mathbb{N}$  and  $\alpha \in Y$  we get

$$p_k(T\alpha) \leq \max_m \max_{n \in S_m} |f_n(\alpha)| d_{m,k} \leq \max_m \max_{n \in S_m} q_k(\alpha) (d_{m,k} b_{n,k}^{-1}) \leq q_k(\alpha),$$

where  $(q_k)$  is the standard base of norms in  $\mathcal{P}(Y)$ . Thus the linear operator T is continuous. We show that T(Y) = X. Let  $x \in X$ . Then  $\exists (\alpha_m) \subset \mathbb{K} : x = \sum_{m=1}^{\infty} \alpha_m x_m$  and  $\forall k \in \mathbb{N}$ ,  $\lim_m |\alpha_m| d_{m,k} = 0$ . Therefore there exists an increasing sequence  $(m_k) \subset \mathbb{N}$  with  $m_1 = 1$  such that  $|\alpha_m| d_{m,k} \leq k^{-k-1} p_1(x)$  for  $m_k \leq m < m_{k+1}, k \in \mathbb{N}$ . Let  $t_m \in N_k \cap S_m$  for  $m_k \leq m < m_{k+1}, k \in \mathbb{N}$ . Let  $l \in \mathbb{N}$ . Then for  $k \geq l$  and  $m_k \leq m < m_{k+1}$  we have

$$|\alpha_m|b_{t_m,l} \le |\alpha_m|b_{t_m,k} = |\alpha_m|d_{m,k}k^k \le k^{-1}p_1(x).$$

Hence  $\forall l \in \mathbb{N}$ ,  $\lim_{m} |\alpha_m| b_{t_m,l} = 0$ . Thus the series  $\sum_{m=1}^{\infty} \alpha_m e_{t_m}$  is convergent in *Y* to some element *y*. Clearly, Ty = x; so T(Y) = X. It follows that *X* is isomorphic to the quotient  $(Y / \ker T)$  of *Y*.

By Corollary 4 and Theorem 5 we obtain

**Corollary 6** Any Fréchet space of countable type is isomorphic to a quotient of some Köthe–Montel space W.

For nuclear Fréchet spaces we shall prove the following.

*Theorem 7 Any nuclear Fréchet space E is isomorphic to a quotient of some nuclear Köthe space.* 

**Proof** Assume that *E* is not of finite type. Let  $\beta \in \mathbb{K}$  with  $0 < |\beta| < 1$ . Then *E* possesses a base  $(p_k)$  in  $\mathcal{P}(E)$  such that:

(1) dim $(E/\ker p_1) = \infty$ ;

(2)  $\forall k \in \mathbb{N}, p_k \leq |\beta|^2 p_{k+1};$ 

(3) for any  $k \in \mathbb{N}$  the canonical map  $\varphi_{k,k+1} : (E_{k+1}, \overline{p_{k+1}}) \to (E_k, \overline{p_k})$  is compact.

Let  $(z_n)$  be a linearly independent and linearly dense sequence in E such that  $lin(z_n) \cap ker p_1 = \{0\}$  (see the proof of Theorem 3). Put  $Z = lin(z_n)$  and  $U_m = \{x \in E : p_m(x) \le 1\}$  for  $m \in \mathbb{N}$ . Let  $k \in \mathbb{N}$ .

Let  $(v_n)$  be a  $|\beta|$ -orthogonal basis in  $(E_{k+1}, \overline{p_{k+1}})$  with  $|\beta| < \overline{p_{k+1}}(v_n) \le 1, n \in \mathbb{N}$ , such that  $\lim(v_n) = \lim(\varphi_{k+1}(z_n))$  (see [5], Theorem 3.16 (i) and its proof). Put  $u_n = (\varphi_{k+1}|Z)^{-1}(v_n), n \in \mathbb{N}$ . Then  $(u_n) \subset Z \cap U_{k+1}$ .

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We will show that  $U_{k+2} \subset \overline{co}(u_n)$ . Let  $x \in U_{k+2}$ . Assume  $m \in \mathbb{N}, \alpha_1, \ldots, \alpha_m \in \mathbb{K}$ and  $(x - \sum_{i=1}^{m} \alpha_i u_i) \in U_{k+2}$ . Then

$$p_{k+1}\left(\sum_{i=1}^{m}\alpha_{i}u_{i}\right) \leq \max\left\{p_{k+1}\left(\sum_{i=1}^{m}\alpha_{i}u_{i}-x\right), p_{k+1}(x)\right\} \leq |\beta|^{2}$$

and

$$p_{k+1}\left(\sum_{i=1}^{m} \alpha_{i} u_{i}\right) = \overline{p_{k+1}}\left(\sum_{i=1}^{m} \alpha_{i} v_{i}\right) \geq |\beta| \max_{1 \leq i \leq m} \overline{p_{k+1}}(\alpha_{i} v_{i}) \geq |\beta|^{2} \max_{1 \leq i \leq m} |\alpha_{i}|.$$

Hence  $\max_{1 \le i \le m} |\alpha_i| \le 1$ . We have proved that  $\sum_{i=1}^m \alpha_i u_i \in co(u_n)$  provided  $(x - \sum_{i=1}^{m} \alpha_i u_i) \in U_{k+2}$ . Thus  $x \in \overline{co}(u_n)$ , since  $(u_n)$  is linearly dense in E. Hence  $U_{k+2} \subset \overline{\operatorname{co}}(u_n).$ 

Put  $W = Z \cap U_{k+1}$ . The set  $\varphi_k(W)$  is absolutely convex and compactoid in  $(E_k, \overline{p_k})$ . Therefore there exists a sequence  $(y_i) \subset (\beta^{-1}\varphi_k(W) \setminus \{0\})$  with  $\lim_i \overline{p_k}(y_i) = 0$  such that  $\varphi_k(W) \subset \overline{\operatorname{co}}(y_i)$  (see [6, Proposition 8.2]).

Let  $d_i \in \beta^{-1}W$  with  $\varphi_k(d_i) = y_i, i \in \mathbb{N}$ . Clearly,  $0 < p_k(d_i) \leq |\beta|, i \in \mathbb{N}$ , and  $\lim_{i} p_k(d_i) = 0$ . Since  $(u_n) \subset Z \cap U_{k+1}$ , we have

$$\forall n \in \mathbb{N}, \exists m \in \mathbb{N}, \exists \alpha_1, \ldots, \alpha_m \in B_{\mathbb{K}} : 0 < \overline{p_k}(\varphi_k(u_n) - \sum_{i=1}^m \alpha_i y_i) < n^{-1}.$$

Put  $b_n = u_n - \sum_{i=1}^m \alpha_i d_i, n \in \mathbb{N}$ . Then  $0 < p_k(b_n) < n^{-1}, n \in \mathbb{N}$ . Let  $x_{2n-1}^k = d_n, x_{2n}^k = b_n$  for  $n \in \mathbb{N}$ . Clearly,  $(x_n^k) \subset Z \cap (U_k \setminus \{0\}), \lim_n p_k(x_n^k) = 0$ and  $(u_n) \subset \operatorname{co}(x_n^k)$ ; hence  $U_{k+2} \subset \overline{\operatorname{co}}(u_n) \subset \overline{\operatorname{co}}(x_n^k)$ .

Let  $(S_k)$  be a partition of  $\mathbb{N}$  into infinite subsets and let  $(x_n)$  be a sequence in *E* such that  $(x_n)_{n \in S_k} = (x_1^k, x_2^k, \ldots)$  for any  $k \in \mathbb{N}$ . Let  $d_{n,k} = p_k(x_n)$  for  $n, k \in \mathbb{N}$ . Clearly,  $0 < d_{n,k} \leq d_{n,k+1}$  for  $n, k \in \mathbb{N}$ . Moreover,  $0 < d_{n,m} \leq 1$  for  $n \in S_m, m \in \mathbb{N}$ , and  $\lim_{n\in S_m} d_{n,m} = 0, m \in \mathbb{N}.$ 

Put  $b_{n,k} = d_{n,k}d_{n,m}^{-k/m}|\beta|^{-km}$  for  $n \in S_m, m \in \mathbb{N}$ , and  $k \in \mathbb{N}$ . Clearly,  $0 < b_{n,k} \leq b_{n,k}$  $|\beta|b_{n,k+1}$  for all  $n,k \in \mathbb{N}$ . Let  $k \in \mathbb{N}$ . For  $n \in S_m, m \in \mathbb{N}$ , we have  $b_{n,k}b_{n,k+1}^{-1} \leq b_{n,k+1}$  $\begin{aligned} &d_{n,m}^{1/m} |\beta|^m. \text{ Let } \epsilon > 0. \text{ Then } \exists l \in \mathbb{N}, \forall m > l, |\beta|^m \leq \epsilon \text{ and } \exists t \in \mathbb{N}, \forall 1 \leq m \leq l, \forall n \in \\ &(S_m \setminus \{1, \ldots, t\}), d_{n,m} \leq \epsilon^m. \text{ Hence } \forall n > t, b_{n,k} b_{n,k+1}^{-1} \leq \epsilon. \text{ Thus } \lim_{n \to 0} b_{n,k} b_{n,k+1}^{-1} = \end{aligned}$  $0, k \in \mathbb{N}$ ; so the Köthe space K(B), associated with the matrix  $B = (b_{n,k})$ , is nuclear.

We shall show that E is isomorphic to a quotient of K(B). Put Y = K(B) and  $q_k(\alpha) = \max_n |\alpha_n| b_{n,k}$  for  $\alpha = (\alpha_n) \in Y$  and  $k \in \mathbb{N}$ . Clearly,  $(q_k)$  is a base in  $\mathcal{P}(Y)$ . Let  $\alpha = (\alpha_n) \in Y$  and  $k \in \mathbb{N}$ . For  $n \in S_m, m \in \mathbb{N}$  we have

$$p_k(\alpha_n x_n) = |\alpha_n| d_{n,k} \le q_k(\alpha) b_{n,k}^{-1} d_{n,k} = q_k(\alpha) (d_{n,m}^{1/m} |\beta|^m)^k.$$

Thus  $\lim_{n \to \infty} p_k(\alpha_n x_n) = 0$  and  $\max_n p_k(\alpha_n x_n) \leq q_k(\alpha)$  for all  $\alpha = (\alpha_n) \in Y$  and  $k \in \mathbb{N}$ . It follows that the linear map

$$T\colon Y\to E, T\alpha=\sum_{n=1}^{\infty}\alpha_n x_n$$

is well defined and continuous. Put  $V_m = \{ \alpha \in Y : q_m(\alpha) \le 1 \}, m \in \mathbb{N}$ . Let  $(e_n)$  be the coordinate basis in Y. Let  $m \in \mathbb{N}$ . Since  $q_m(\beta^{m^2}e_n) = |\beta|^{m^2}b_{n,m} = 1$  for  $n \in S_m$ , we have  $T(V_m) \supset \{\beta^{m^2}x_n : n \in S_m\}$ ; so  $\overline{T(V_m)} \supset \beta^{m^2}\overline{\operatorname{co}}\{x_n^m : n \in \mathbb{N}\} \supset \beta^{m^2}U_{m+2}$ . Thus the map T is almost open. By the open mapping theorem [4, Theorem 2.72] we infer that T(Y) = E and E is isomorphic to the quotient  $(Y/\ker T)$  of Y.

If *E* is of finite type and *K*(*B*) is a nuclear Köthe space, then *E* is isomorphic to a quotient of  $\mathbb{K}^{\mathbb{N}} \times K(B)$  and, by the first part of the proof, to a quotient of some nuclear Köthe space.

Finally, we shall show that there is no nuclear Fréchet space *X* such that any nuclear Köthe space is isomorphic to a quotient of *X*.

For arbitrary subsets *A*, *B* in a linear space *E* and a linear subspace *L* of *E* we denote  $d(A, B, L) = \inf\{|\beta| : \beta \in \mathbb{K} \text{ and } A \subset \beta B + L\}$  (we put  $\inf \emptyset = \infty$ ). Let  $d_n(A, B) = \inf\{d(A, B, L) : L < E \text{ and } \dim L < n\}, n \in \mathbb{N}$ .

It is easy to check the following.

**Remark 8** Let *E* and *F* be linear spaces. If  $A, B \subset E$  and *T* is a linear map from *E* onto *F*, then  $d_n(A, B) \ge d_n(T(A), T(B))$  for  $n \in \mathbb{N}$ . If  $A' \subset A \subset E$  and  $B \subset B' \subset E$ , then  $d_n(A, B) \ge d_n(A', B')$  for  $n \in \mathbb{N}$ .

By the second part of the proof of [11, Lemma 2], we get

**Lemma 9** Let  $(f_n)$  be the sequence of coefficient functionals associated with a basis  $(x_n)$  in an les *E*. Let  $(a_k)$ ,  $(b_k) \subset (0, \infty)$ . Put  $A = \{x \in E : \max_k | f_k(x) | a_k^{-1} \leq 1\}$  and  $B = \{x \in E : \max_k | f_k(x) | b_k^{-1} \leq 1\}$ . Then for any  $n \in \mathbb{N}$  and  $\alpha \in \mathbb{K}$  with  $|\alpha| < 1$  we have  $d_n(A, B) \geq |\alpha| a_n b_n^{-1}$ .

If  $a = (a_n) \subset (0, \infty)$  is a non-decreasing sequence with  $\lim a_n = \infty$ , then the following Köthe space is nuclear:  $A_{\infty}(a) = K(B)$  with  $B = (b_{k,n}), b_{k,n} = k^{a_n}$  (see [1]);  $A_{\infty}(a)$  is a power series space of infinite type.

Now we can prove our last theorem.

**Theorem 10** For any nuclear Köthe space X there exists a non-decreasing sequence  $(a_n) \subset (0, \infty)$  with  $\lim_n a_n = \infty$  such the space  $A_{\infty}(a)$  is not isomorphic to any quotient of X.

**Proof** Let  $\beta \in \mathbb{K}$  with  $0 < |\beta| < 1$ . Let  $(x_n)$  be a basis of X which is 1-orthogonal with respect to a base of norms  $(p_k)$  in  $\mathcal{P}(X)$  with  $\lim_n [p_k(x_n)p_{k+1}^{-1}(x_n)] = 0, k \in \mathbb{N}$ . Put  $U_k = \{x \in X : p_k(x) \le 1\}$  for  $k \in \mathbb{N}$ . It is easy to see that

$$\forall i \in \mathbb{N}, \forall m \in \mathbb{N}, \exists n \in \mathbb{N} : U_{i+1} \subset \beta^m U_i + \ln\{x_1, \dots, x_n\}.$$

Hence  $\lim_n d_n(U_{i+1}, U_i) = 0, i \in \mathbb{N}$ . Thus there exists an increasing sequence  $(v_n) \subset \mathbb{N}$  such that for any  $n \in \mathbb{N}$  we have

$$\max_{1 \le k \le n} d_{\nu_n}(U_{k+1}, U_k) < |\beta| n^{-n}.$$

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Put  $a_m = \min\{n \in \mathbb{N} : v_n \ge m\}$ ,  $m \in \mathbb{N}$ , and  $a = (a_n)$ . Clearly,  $0 < a_m \le a_{m+1}$  for  $m \in \mathbb{N}$ , and  $\lim_m a_m = \infty$ .

Assume that the space  $A_{\infty}(a)$  is isomorphic to a quotient of X. Then there exists a linear continuous and open mapping T from X onto  $A_{\infty}(a)$ . Thus for some  $k, s \in \mathbb{N}$  we have

$$V_1 \supset T(U_k) \supset T(U_{k+1}) \supset V_s,$$

where  $V_i = \{ \alpha = (\alpha_n) \in A_{\infty}(a) : \max_n |\alpha_n| i^{a_n} \le 1 \}, i \in \mathbb{N}.$ Using Remark 8, we get

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$$d_m(U_{k+1}, U_k) \ge d_m(T(U_{k+1}), T(U_k)) \ge d_m(V_s, V_1), m \in \mathbb{N}.$$

Let  $n \in \mathbb{N}$  with  $a_{\nu_n} \ge \max\{k, s\}$ . Put  $m = \nu_n$ ; then  $a_n = n \ge \max\{k, s\}$ . By Lemma 9 we have

$$d_m(V_s, V_1) \ge |\beta| s^{-a_m} \ge |\beta| n^{-n} > d_m(U_{k+1}, U_k);$$

a contradiction.

Similarly to the proof of Theorem 10 one can show the following

**Remark 11** For any nuclear Köthe space K(A) with  $A = (a_{n,k})$  there exists a nondecreasing sequence  $(t_n) \subset \mathbb{N}$  with  $\lim_n t_n = \infty$  such that for  $B = (b_{n,k})$  with  $b_{n,k} = a_{t_n,k}$ ,  $n, k \in \mathbb{N}$ , the nuclear Köthe space K(B) is not isomorphic to a quotient of K(A).

By Theorems 7 and 10, we obtain

*Corollary 12* There is no nuclear Fréchet space X such that any nuclear Köthe space is isomorphic to a quotient of X.

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